



1646 #11

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PTO/SB/21 (6-98)  
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<b>TRANSMITTAL FORM</b> <i>(to be used for all correspondence after initial filing)</i>	Application Number	09/864,954	
	Filing Date	May 24, 2001	
	First Named Inventor	Kaul et al	
	Group Art Unit	1646	
	Examiner Name		
Total Number of Pages in This Submission	5	Confirmation Number	

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<input type="checkbox"/> Response to Missing Parts/Incomplete Application	Remarks	
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Robert A. Silverman
Signature	
Date	June 21, 2001

CERTIFICATE OF MAILING			
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail on the date shown below in an envelope addressed to Commissioner for Patents, Washington, D.C. 20231:			
Typed or printed name	Robert A. Silverman		
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PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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In re Patent Application

Kaul et al

Group: 1646

Serial No. 09/864,954, filed May 24, 2001

Examiner:

For: A NUCLEIC ACID WHICH IS UPREGULATED IN HUMAN TUMOR CELLS, A  
PROTEIN ENCODED THEREBY AND A PROCESS FOR TUMOR DIAGNOSIS

**INFORMATION DISCLOSURE STATEMENT**

Nutley, New Jersey 07110  
June 21, 2001

Commissioner for Patents  
Washington, D.C. 20231

Sir:

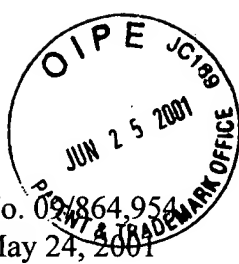
Pursuant to 37 C.F.R. §§ 1.56, 1.97 and 1.98, applicants respectfully direct the Examiner's attention to the documents listed on enclosed Form PTO-1449.

Documents A1, B1-B2 and C1-C2 listed on Form PTO-1449 are enclosed.

Enclosed is a Search Report, dated December 6, 2000, which was issued by the European Patent Office in application EP 00110953 corresponding to the captioned application. The Search Report lists Documents A1, B1-B2 and C2.

Consideration of all the documents cited on Form PTO-1449 is requested.

Serial No. 09/864,954  
Filed: May 24, 2001



Since the Information Disclosure Statement is submitted before the mailing of the first Office Action on the merits or within three months of the filing date for the above application and/or because each item of information contained in the Information Disclosure Statement was cited in a communication for the first time from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement, no fee is due.

Respectfully submitted,

Attorney for Applicant(s)

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(Use several sheets if necessary)

Sheet	2
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Application Number	09/864,954
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09/864,954

Filing Date	May 24, 2001
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May 24, 2001

First Name Inventor	Kaul, Sepp et al
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Kaul, Sepp et al

Group Art Unit	1646
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1646

Examiner Name

Attorney Docket Number	20678
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## U.S. PATENT DOCUMENTS

[illegible]

## FOREIGN PATENT DOCUMENTS

[illegible]

Examiner  
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Date Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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<sup>1</sup>Unique citation designation number. <sup>2</sup>See attached Kinds of U.S. Patent Documents. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation or abstract is attached.



## NON PATENT LITERATURE DOCUMENTS

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